



Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-013001	Application No. 10/726,296
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Kraft et al.	
		Filing Date December 2, 2003	Group Art Unit 2154

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
VN	AA	6,212,653	4/2001	Boivin et al.	714	45	
VN	AB	6,952,660	10/2005	Matheson	703	1	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

### Foreign Patent Documents or Published Foreign Patent Applications

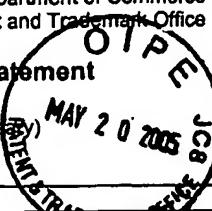
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document	
	AQ		
	AR		
	AS		
	AT		

Examiner Signature <i>/Van Nguyen/</i>	Date Considered <i>02/18/2007</i>
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Other Documents (include Author, Title, Date, and Place of Publication)		
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VM	AA	"Analysis of Petri Net Performance Model," <a href="http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html">http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html</a> , printed from the Internet September 5, 2003, 2 pgs.
	AB	"Application Link Enabling," <a href="http://searchsap.techtarget.com/sDefinition/0,,sid21_gci822148,00.html">http://searchsap.techtarget.com/sDefinition/0,,sid21_gci822148,00.html</a> , May 7, 2002, printed from the Internet December 10, 2003, 2 pgs.
	AC	Brink, Richard Scott, "A Petri Net Design, Simulation, and Verification Tool," Rochester Institute of Technology, September 1996, <a href="http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html">http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html</a> , printed from the Internet September 5, 2003, 47 pgs.
	AD	Carryer, J. Edward, "State Machines," February 2, 2001, <a href="http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf">http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf</a> , printed from the Internet September 5, 2003, 3 pgs.
	AE	"DotnetCoders – UML: Statechart Diagrams," copyright 2000-2003 .netCoders, <a href="http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx">http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx</a> , printed from the Internet December 10, 2003, 2 pgs.
	AF	"Finite State Machine – A WhatIs Definition," <a href="http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html">http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html</a> , printed from the Internet December 10, 2003, 4 pgs.
	AG	'Half.com: Apple iPod Mini – Questions & Answers' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447">http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447</a> >.
	AH	'Half.com: Apple iPod Mini – Seller's Description' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447">http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447</a> >.
	AI	'The Next Evolution of E-Commerce – Tango Trade Press Release' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07, then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211">http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211</a> >. See, e.g., pp. 10-11.
	AJ	'P2P Real Time Trading Community from Tango' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07; then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.collaborate.com/publication/newsletter/nl0603.html#portal">http://www.collaborate.com/publication/newsletter/nl0603.html#portal</a> >. See, e.g., pp. 24-25.
VM	AK	Robinson, Scott, "Save Time and Effort with SAP's ALE Change Pointers," <a href="http://techrepublic.com.com/5102-6329-1048973.html">http://techrepublic.com.com/5102-6329-1048973.html</a> , August 12, 2002, copyright 1995-2003 CNET Networks, Inc., printed from the Internet September 4, 2003, 3 pgs.

Examiner Signature <i>/Van Nguyen/</i>	Date Considered 02/18/2007
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		Filing Date December 2, 2003	Group Art Unit 2154

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
VN	AL	"StateMachines," copyright 1996-2002 BoldSoft, copyright 2002 Borland, <a href="http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm">http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm</a> , printed from the Internet September 5, 2003, 1 pg.
	AM	'TangoTrade.net - About' [online]. Tango, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/about.html">http://www.tangotrade.net/about.html</a> >.
	AN	'Tango Trade 2.0: End-User Market for: EBAY P/N 370-2304' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY">http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY</a> >.
	AO	'Tango Trade 2.0: Sell an Item' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://dns-1.wtic.net/sell.php?&amp;PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635">http://dns-1.wtic.net/sell.php?&amp;PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635</a> >.
	AP	"UML Tutorial - State Diagrams," Kennesaw State University, <a href="http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm">http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm</a> , printed from the Internet December 10, 2003, 4 pgs.
VN	AQ	'Welcome to TangoTrade.net' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/index.html">http://www.tangotrade.net/index.html</a> >.

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<p style="text-align: center;"><b>U.S. Patent Documents</b></p> <table border="1"> <thead> <tr> <th>Examiner Initial</th> <th>Desig. ID</th> <th>Document Number</th> <th>Publication Date</th> <th>Patentee</th> <th>Class</th> <th>Subclass</th> <th>Filing Date If Appropriate</th> </tr> </thead> <tbody> <tr> <td>VN</td> <td>AA</td> <td>US-5842216</td> <td>11/24/1998</td> <td>Anderson et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>VN</td> <td>AB</td> <td>US-5864837</td> <td>01/26/1999</td> <td>Maimone et al.</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>				Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	VN	AA	US-5842216	11/24/1998	Anderson et al.				VN	AB	US-5864837	01/26/1999	Maimone et al.			
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VN	AB	US-5864837	01/26/1999	Maimone et al.																							

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
VN	AC	EP 0 926 608 A	06/30/1999	EPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

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U.S. Patent Documents							
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VN	AA	US-4694422 A	09/15/1987	Kakuda et al.			
VN	AB	US-4777595 A	10/11/1988	Strecker et al.			
VN	AC	US-5655075 A	08/05/1997	Saito et al.			
VN	AD	US-6529932 B1	03/04/2003	Dadiomov et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
VN	AE	EP 0 684 720 A	11/29/1995	EPO			

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Examiner Initial	Desig. ID	Document
VN	AF	Haase, Kim, "Java Message Service API Tutorial," Sun Developer Network Site, August 2001, retrieved from the Internet on January 7, 2004, at <a href="http://java.sun.com/products/jms/docs.htm">http://java.sun.com/products/jms/docs.htm</a> , XP002266306, 270 pgs.
VN	AG	Johnson, David B, et al., "Sender-Based Message Logging," 7 <sup>th</sup> Symposium on Fault-Tolerant Computing, 1987, retrieved from the Internet on January 8, 2004, at <a href="http://citeseer.nj.necessary.com/johnson87senderbased.html">http://citeseer.nj.necessary.com/johnson87senderbased.html</a> , XP002266307, 6 pgs.
VN	AH	Kakuda, Yoshiaki, et al., "Automated Verification of Responsive Protocols Modeled by Extended Finite State Machines," Real-Time Systems, Kluwer Academic Publishers, Dordrecht, NL, vol. 7, no. 3, November 1, 1994, XP 000485229, pp. 275-289
VN	AI	Yueng, Maria C., "Survey of Protocol Verification Techniques Based on Finite State Machine Models," April 11, 1988, Proceedings of the IEEE NBS Computer Networking Symposium, Washington, DC, XP010011847, pp. 164-172

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37 CFR §1.98(a)			

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VN	AA	5,974,129	10/1999	Bodnar			
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	AC	6,442,586	08/2002	Glass			
	AD	6,564,218	05/2003	Roth			
	AE	6,631,386	10/2003	Arun, et al.			
	AF	6,738,797	05/2004	Martin			
	AG	6,754,657	06/2004	Lomet			
VN	AH	6,761,636	07/2004	Chung, et al.			

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